

HE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Serial #:

Stevenson, et al

10/086,619

Filing Date:

March 1, 2002

Examiner:

Unknown

Art Unit:

1713

Date:

April 16, 2002

Title:

Phosphite Ester Additive Compositions

Commissioner of Patents and Trademarks Washington, D.C. 20231

Supplemental **Information Disclosure Statement**

- RECEIVE TC 1700 This Supplemental Information Disclosure Statement is made within the earlier of: (1) three months after the filing date of the application §1.97(b)(1); (2) within three months of the date of entry of the national stage as set forth in §1.491 in an international application §1.97(b)(2); (3) before the mailing date of a first Office action on the merits §1.97(b)(3); or (4) before the mailing of a first Office action after the filing of a request for continued examination under §1.97. Therefore, this statement is considered timely under 37 C.F.R. §1.97(b).

According to the terms of 37 C.F.R. §1.97(g), this Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(a) exists. While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56(b), it is not intended to constitute admission that any patent, publication or other information referred to therein is "material to patentability" under 37 C.F.R. §1.97(h) for this invention unless specifically designated.

In accordance and conformity with Form PTO-1449, the applicant will draw the examiner's attention to various column / line number combinations. To the best of the applicant's ability, these sections are believed to be illustrative of at least portions of the teachings of the prior patented invention. It is however, entirely conceivable that technical minds may come to different conclusions as to the relevance of any one passage or series of passages, within a patent. By identifying these column / line number combinations, it is not meant to be construed as an admission on the part of the applicant that other sections are not relevant. The examiner is invited to review the documents in their entirety and reach his or her own conclusion.

S/N: 10/086,619 April 16, 2002

In accordance with 37 C. R. 3 158, applicant wishes to call the attention of the Examiner to the following additional references, which were uncovered by the applicant. Copies of these references are also submitted herewith along with form PTO-1449, as well as summaries of the references.

U.S. Patent Documents

Previously provided in applicant's Information Disclosure Statement filed April 9, 2002.

Foreign Patent Documents

No foreign documents are in the possession of the applicant.

Documents

No other documents are in the possession of the applicant at this time. **Page 5.700;

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Other Documents

Presentation at National Meetings

Ref. #	Journal	Author	Publication Date	
CA	Plastics Additives & Compounding, the international magazine for users of additives	D. Stevenson, M. Harr, B. Hood, T. Jennings	Feb. 2002	
MAT	Title	Title Flexible PVC stabilization: the phosphite approach		
Ref. #	Journal	Author	Publication Date	
СВ	Technical Bulletin 01-03	D. Stevenson, M. Harr, B. Hood, T. Jennings	September 2001	
MA T	Title:	Dover Phosbooster Advanced cuts flexible PVC costs with no	Phosphite Ester Technology, toxic heavy metals or solvents	
Ref. #	Journal	Author	Publication Date	
СС	Vinyl Retec	D. Stevenson, M. Harr, M. Jakupca	Sep. 2001	
WAT	Title:	Optimizing Cost Performance of Flexible PVC Compounds with PhosBoosters		

Ref. #	Journal	Author	Publication Date	
CD	ACS	D. Stevenson, M. Harr, M. Jakupca	August 2001	
MAY	Title:	Phosphite Ester Compositions for PVC Compounds		
Ref. #	Journal	Author	Publication Date	
CE	Journal of Vinyl & Additives Technology	D. Stevenson, M. Harr, M. Jakupca	March 2002	
MOT	Title:	Phosphite Ester Compositions for PVC Compounds		

Presentations at Invited Seminars

To the knowledge of the applicant, there has been no presentation of this subject matter at invited seminars.

Best Information Available

This Information Disclosure Statement is based upon the information contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to the undersigned at that date. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully Submitted, Buckingham, Doolittle & Burroughs, LLP

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LFW/dl

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Attorney Docket # 47399-0015 «AK3:553530_1»

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valid OMB co	ontrol number.		Application Num	ber	10/086,619		
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			First Named Inve	ntor	Stevenson, Don R., et al.		
(to be used for all correspondence after initial filing)		Group Art Unit		1713			
			Examiner Name		Unknown		
Total Number of P	ages in This Subm	nission 28	Attorney Docket N	umber	47399.0015		
		ENCLOS	SURES (check all ti	nat apply)		
Fee Transmitta			nent Papers Application)		After Allowance Communication to Group Appeal Communication to Board		
Fee Atta		Drawing	(s)		of Appeals and Interferences Appeal Communication to Group		
Amendment /	•		ng-related Papers		(Appeal Notice, Brief, Reply Brief)		
After Final		and Acc	Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
		Provisio	Petition to Convert to a Provisional Application		Status Letter		
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		, [Additional Enclosure(s) (please identify below):		
Express Abandonment Request		Termina	l Disclaimer		Form 1449 Copies of 5 references		
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Firm or Individual name	Firm or Louis F Wagner						
Signature	Jours	JeX					
Date April 17, 2002			\				
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I hereby certify that t envelope addressed	this correspondence to: Assistant Com	e is being deposi missioner for Pate	ted with the United Sta ents, Washington, D.C	ates Post 2. 20231	al Service as <u>first class mail in an</u> on this date: April 17, 2002		
Typed or printed na	me Louis I	F. Wagner	/				
Signature	pui	T		Date	April 17, 2002		

Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.